

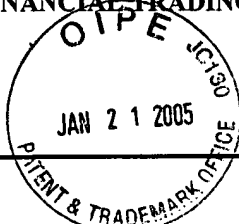
TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
0114066-004

In Re Application Of: Jarvis et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/002,553	November 23, 2001	B. Nguyen	29159	3713	3797

Title: **FINANCIAL TRADING GAME**



COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Amendment Transmittal Letter (1 page in duplicate); Response to Office Action (10 pages); Transmittal of Information Disclosure Statement (2 pages in duplicate); Supplemental Information Disclosure Statement (4 pages); PTO-1449 Form (3 pages); check in the amount of \$180.00; and a postcard which we ask you to date stamp and return.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Signature

Dated: **January 18, 2005**

Adam H. Masia
Reg. No. 35,602
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4284

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
January 18, 2005

(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Correspondence

cc:

AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Jarvis et al.

Docket No.

0114066-004

Application No.

10/002,553

Filing Date

November 23, 2001

Examiner

B. Nguyen

Customer No.

29159

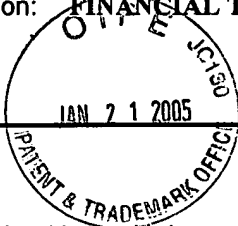
Group Art Unit

3713

Confirmation No.

3797

Invention: FINANCIAL TRADING GAME

**COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	32 -	48 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	3 -	6 =	0 x	\$88.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 02-1818
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ Payment by credit card. Form PTO-2038.

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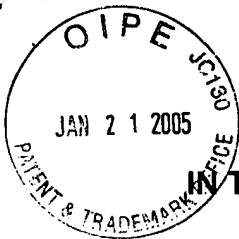
(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Correspondence

cc:



3713 *spw*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jarvis et al.
Appl. No.: 10/002,553
Conf. No.: 3797
Filed: November 23, 2001
Title: FINANCIAL TRADING GAME
Art Unit: 3713
Examiner: B. Nguyen
Docket No.: 0114066-004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action dated October 19, 2004 , please amend the above-identified patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.